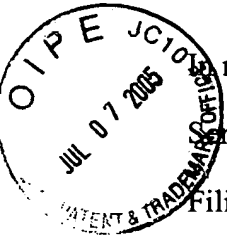


ATTORNEY DOCKET NO.  
11321-P014US

M1773  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



are application of: Smalley, et al.

Serial No.: 09/935,995

Filing Date: August 23, 2001

Art Unit: 1773

Title: *Polymer-Wrapped Single Wall Carbon Nanotubes*

Mail Stop: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**FOURTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37  
C.F.R. § 1.97(b)**

Applicant hereby submits the following references in accordance with 37 C.F.R. §§ 1.56, 1.97 and 1.98. Copies of the references cited in the attached PTO/SB/08A and PTO/SB/08B are enclosed for the examiner's reference. Furthermore, pursuant to 37 C.F.R. § 1.97(g) and (h), no representation is made that this is material to patentability of the present application or that a search has been made.

Applicant hereby submits that claims of Applicant's referenced patent application are patentably distinguishable from these references.

Applicant has enclosed a check for \$180.00; however, the Director of Patents and Trademarks is hereby authorized to charge any other necessary fees relating to this Fourth Supplemental Information Disclosure Statement under 37 CFR § 1.17 to Deposit Account No 23-2426 of WINSTEAD SECHREST & MINICK P.C. (referencing matter 11321-P014US).

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Date:

6/28/05

Respectfully submitted,

Ross Spencer Garsson  
Regis No. 38,150

WINSTEAD SECHREST & MINICK P.C.  
P.O. Box 50784  
Dallas, Texas 75201  
Phone: (512) 370-2870  
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**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8**

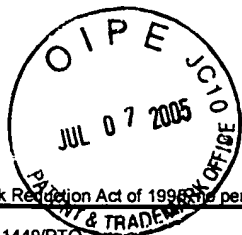
I hereby certify that the attached *Fourth Supplemental Information Disclosure Statement* and cited art are being deposited with the USPS, first class mail, with sufficient postage, addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this the 28<sup>th</sup> day of June, 2005.

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793627v.1 11321/P014US



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| Filing Date            | August 23, 2001 |
| First Named Inventor   | Smalley, et al. |
| Art Unit               | 1773            |
| Examiner Name          | Hoa T. Le       |
| Attorney Docket Number | 11321-P014US    |

Sheet 1 of 5

**U. S. PATENT DOCUMENTS**

| Examiner Initials* | Cite No. <sup>1</sup> | Document Number                          | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|--------------------|-----------------------|--|--------------------------------|--|---|
|                    |                       | Number-Kind Code <sup>2</sup> (if known) |                                |  |   |
|                    | 1                     | US- 5,560,898                            | 10/01/96                       | Uchida, et al.                                     |   |
|                    | 2                     | US- 5,653,996                            | 08/05/97                       | Hsu  |   |
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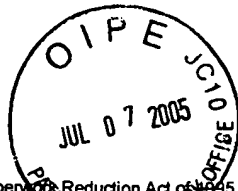
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|                    |                       | Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) |                                |  |  |                |
|                    | 13                    | WO 00/17102   | 03/30/2000                     | Smalley, et al.                                    |  |                |
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|                    | 16                    | WO 98/39250   | 09/11/98                       | Smalley, et al.                                    |  |                |
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Sheet

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Application Number

09/935,995

Filing Date

August 23, 2001

First Named Inventor

Smalley, et al.

Art Unit

1773

Examiner Name

Hoa T. Le

Attorney Docket Number

11321-P014US

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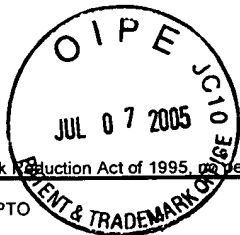
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| Examiner Name          | Hoa T. Le       |
| Attorney Docket Number | 11321-P014US    |

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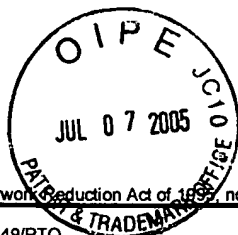
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| Examiner Name          | Hoa T. Le       |
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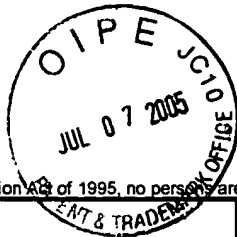
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